

Draft Proposed CMAQ/STBG-RSTP Transfer Policy For Existing Project Shortfalls
(For Discussion – 5/27/2020)

Issue:

FAMPO currently does not have an existing policy in place for addressing existing CMAQ/STBG-RSTP project shortfalls. In the recent years, additional allocations have been made for two projects: #13: UPC 111753 – US Rte 1 @ Potomac Creek (received \$234,353 in funding) and #25: UPC 109574 – VCR Trail Bridge project over Hazel Run (received \$125,000). VDOT recently informed Spotsylvania of an approximately \$1.15 Million shortfall on #15: UPC 110987 – Rte 208/Hood Drive intersection improvements due to increased construction costs due to SWM and other issues. VDOT has set a December, 2020 deadline for the project being fully funded by Spotsylvania and FAMPO to avoid delaying the project which has a June, 2022 CN Ad date. VDOT has also indicated that other existing Smart Scale projects in FAMPO are at risk of project shortfalls in the coming months, some of which are CMAQ/STBG-RSTP, but provided no further details. As a result, a regionally agreed upon policy for how to address CMAQ/STBG-RSTP shortfalls is needed.

Spotsylvania Proposal for FAMPO consideration:

Requirements:

1. Existing FAMPO CMAQ and/or STBG-RSTP project
2. Project shortfall not due to a scope change or increase

Policy:

1. CMAQ projects eligible for additional FAMPO funding of 10% of original approved FAMPO cost estimate for projects with cost estimates between \$1,000,000 and \$10,000,000. For projects with FAMPO approved cost estimates of less than \$1,000,000, are eligible for up to \$100,000 in additional CMAQ funding. For projects with FAMPO approved cost estimates of more than \$10,000,000, are capped at a maximum of \$1,000,000 in additional CMAQ funding. Additional CMAQ funding should come from unallocated sources first and projects lower in the approved FAMPO priority order second subject to funding availability. Additional CMAQ funding should also match project schedule and not delay other existing projects to the extent possible.
2. STBG-RSTP projects eligible for additional FAMPO funding of 10% of original approved FAMPO cost estimate for projects with cost estimates between \$1,000,000 and \$10,000,000. For projects with FAMPO approved cost estimates of less than \$1,000,000, are eligible for up to \$100,000 in additional STBG-RSTP funding. For projects with FAMPO approved cost estimates of more than \$10,000,000, are capped at a maximum of \$1,000,000 in additional STBG-RSTP funding. Additional STBG-RSTP funding should

come from unallocated sources first and projects lower in the approved FAMPO priority order second subject to funding availability. Additional STBG-RSTP funding should also match project schedule and not delay other existing projects to the extent possible.

3. If a project has been rated for both CMAQ and STBG-RSTP, it is eligible for additional FAMPO funding of 15% of the original approved FAMPO cost estimate with the stipulation that no more than 10% of the additional funding can be CMAQ or STBG-RSTP and the other restrictions on additional allocations for CMAQ and STBG-RSTP described in #2 and #3 apply. If a project is eligible for both CMAQ and STBG-RSTP funding, it should use CMAQ funding first up to the 10% limit subject to funding availability.

Spotsylvania Proposal for FAMPO consideration: How this approach could work for #15: UPC 110987: Rte 208/Hood Drive

Requirements:

1. Existing FAMPO CMAQ and/or STBG-RSTP project – Yes to both
2. Project shortfall not due to a scope change or increase. - Yes

Policy:

Original approved FAMPO Estimate: \$5,150,000 and eligible for both CMAQ and STBG-RSTP

New VDOT Cost estimate: Approximately \$6,301,000

Additional funding needed by no later than FY-24 to avoid delaying project

Possible solution on Additional Funding using this Policy:

CMAQ: 10% at \$515,000

Possible solution:

- a. From previous or surplus or unallocated funding in available in the Previous to FY-24 range. Use older funding first to the extent possible.
- b. Transfer additional FY-24 CMAQ needed to get to 10% for CMAQ from a lower priority project with a later schedule: #22: Rte 1/Enon Rd and backfill Rte 1/Enon Rd project with available FY-25/FY-26 CMAQ funding. This proposed transfer should not delay Rte 1/Enon Rd project.

STBG-RSTP: 5% at \$257,500

Possible solution:

- a. From previous or surplus or unallocated funding in available in the Previous to FY-24 range. Use older funding first to the extent possible.
- b. Use Regionally Significant and/or Balance Entry accounts first. No impact to existing project funding.

Total Additional Funding: \$772,500

Note: Additional allocation would increase project funding to \$5,922,500. Remaining project cost of approximately \$378,500 to be paid by Spotsylvania County using Local funds.